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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 18037-PCTUS

|   | DESIGNATED/ELECTED<br>ICERNING A SUBMISSION   | U.S. APPLICATION OF TRANSPORT 1.5) Not Assigned U.S. Transport 1.5) |   |  |  |  |  |  |  |  |
|---|---|---|---|--|--|--|--|--|--|--|
|   | TIONAL APPLICATION NO.  | INTERNATIONAL FILING DATE 16 August 2004                            | PRIORITY DATE CLAIMED                     |  |  |  |  |  |  |  |
| PCT/US04  | /026561<br>INVENTION  | 20 August 2003  |   |  |  |  |  |  |  |  |
| COMPOUNDS FOR THE TREATMENT OF METABOLIC DISORDERS  |   |   |   |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US WELLSTAT THERAPEUTICS CORPORATION, et al.   |   |   |   |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |   |   |  |  |  |  |  |  |  |
| 1. 🗹  | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |   |   |  |  |  |  |  |  |  |
| 2.  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |   |  |  |  |  |  |  |  |
|   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |  |  |
| 4.  | The US has been elected (Article 31).   |   |   |  |  |  |  |  |  |  |
| 5. <b>V</b>   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |   |  |  |  |  |  |  |  |
|   | a. is attached hereto (required only if not communicated by the International Bureau).  |   |   |  |  |  |  |  |  |  |
|   | b.  has been communicated by the International Bureau.  |   |   |  |  |  |  |  |  |  |
|   | c. I is not required, as the application was filed in the United States Receiving Office (RO/US).   |   |   |  |  |  |  |  |  |  |
| 6. $\square$  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |   |  |  |  |  |  |  |  |
|   | a is attached hereto.   |   |   |  |  |  |  |  |  |  |
|   | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |   |  |  |  |  |  |  |  |
| 7. <b>Ľ</b>   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |   |   |  |  |  |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).   |   |   |  |  |  |  |  |  |  |
|   | b. have been communicated by the International Bureau.  |   |   |  |  |  |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |   |  |  |  |  |  |  |  |
|   | d. v have not been made and will not be made.   |   |   |  |  |  |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |   |   |  |  |  |  |  |  |  |
| 9. V  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNSIGNED   |   |   |  |  |  |  |  |  |  |
| 10.   | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).  | e annexes of the International Preliminary 8                        | Examination Report under PCT              |  |  |  |  |  |  |  |
| Items   | ns 11 to 20 below concern document(s) or information included:  |   |   |  |  |  |  |  |  |  |
| 11.   | An Information Disclosure Statement   | t under 37 CFR 1.97 and 1.98.                                       |   |  |  |  |  |  |  |  |
| 12.   | An assignment document for recording  | ng. A separate cover sheet in compliance w                          | ith 37 CFR 3.28 and 3.31 is included.     |  |  |  |  |  |  |  |
| 13. 🗹   | A preliminary amendment.  |   |   |  |  |  |  |  |  |  |
| 14.   | An Application Data Sheet under 37  | CFR 1.76.   |   |  |  |  |  |  |  |  |
| 15.   | A substitute specification.   |   |   |  |  |  |  |  |  |  |
| 16. 🗹   | A power of attorney and/or change o   | f address letter.   |   |  |  |  |  |  |  |  |
| 17.   | A computer-readable form of the seq   | uence listing in accordance with PCT Rule                           | 13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825. |  |  |  |  |  |  |  |
| 18.   | A second copy of the published Inter  | national Application under 35 U.S.C. 154(d)                         | (4).                                      |  |  |  |  |  |  |  |
| 19.   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |   |   |  |  |  |  |  |  |  |

## IAP5 Rec'd PCT/PTO 20 JAN 2006

PTO-1390 (Rev. 07-2005)
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| U.S. APPLICAT   | 0/566  | 302 1.5)                    | PCT/US04/026561                                 | PLICATION NO. | ATTORNEY'S DOCKET NUMBER 18037-PCTUS |                 |  |  |  |
|---|--|-----------------------------|---|---------------|--------------------------------------|-----------------|--|--|--|
| 20. Other items or information:   |  |                             |   |               |                                      |                 |  |  |  |
|   | <del></del>  |                             |   |               |                                      | DT0 1/05 01/11/ |  |  |  |
|   | owing fees have b<br>c national fee (37  | \$ and an                   | PTO USE ONLY                                    |               |                                      |                 |  |  |  |
|   |  | 300.00                      |   |               |                                      |                 |  |  |  |
|   | nination fee (37 CF  | <b>\$</b> 200.00            |   |               |                                      |                 |  |  |  |
| by IPEA/  | ion prepared by IS<br>US indicates all cl<br>ns  | \$ 200.00                   |   |               |                                      |                 |  |  |  |
| 23. Sear<br>If the written opin<br>IPEA/US<br>Search fee (37 C<br>International Sea<br>previously | rch fee (37 CFR 1.<br>iion of the ISA/US<br>indicates all claim<br>FR 1.445(a)(2)) his<br>onal Searching Au<br>irch Report prepar<br>y communicated to | \$ 100.00                   |   |               |                                      |                 |  |  |  |
|   | TOTAL OF 21, 22  |                             |   |               |                                      |                 |  |  |  |
| sequenc<br>electroni  | e for specification<br>e listing in complia<br>c medium) (37 CF<br>s \$250 for each ad   |                             |   |               |                                      |                 |  |  |  |
| Total Sheets  |  |                             | additional 50 or fraction up to a whole number) | RATE          |                                      |                 |  |  |  |
| 41 - 100 =  | /50 =  |                             |   | x \$250       | \$                                   |                 |  |  |  |
|   | 30.00 for furnishing commencement of   | \$                          |   |               |                                      |                 |  |  |  |
| CLAIMS  | NUMB   | BER FILED NUMBER EXTRA RATE |   | RATE          | \$                                   |                 |  |  |  |
| Total claims  | 17   | - 20 =                      | 0   | × \$ 50       | \$                                   |                 |  |  |  |
| Independent clair   | ms 3   | - 3 =                       | 0   | × \$200       | \$                                   |                 |  |  |  |
| MULTIPLE DEP  | ENDENT CLAIM(S   | \$                          |   |               |                                      |                 |  |  |  |
|   |  | \$ 600.00                   |   |               |                                      |                 |  |  |  |
| Applicant cla   | ims small entity st  |                             |   |               |                                      |                 |  |  |  |
|   |  | \$ 600.00                   |   |               |                                      |                 |  |  |  |
|   | f <b>\$130.00</b> for furni:<br>late (37 CFR 1.492   | \$                          |   |               |                                      |                 |  |  |  |
|   | <u></u>  | \$ 600.00                   |   |               |                                      |                 |  |  |  |
| •   | the enclosed ass<br>cover sheet (37  | \$                          |   |               |                                      |                 |  |  |  |
|   |  | \$ 600.00                   |   |               |                                      |                 |  |  |  |
|   |  | Amount to be refunded:      | \$  |               |                                      |                 |  |  |  |
|   |  | Amount to be charged        | \$ 600.00                                       |               |                                      |                 |  |  |  |

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A check in the amount of \$\_\_\_ \_\_\_ to cover the above fees is enclosed. b. 🗹 Please charge my Deposit Account No. 50-1677
A duplicate copy of this sheet is enclosed. in the amount of \$ 600.00 to cover the above fees. c. 🗹 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1677 ..... A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Legal Department 930 Clopper Road Lewis J. Kreisler Gaithersburg, Maryland 20878 NAME U.S.A. 38522 REGISTRATION NUMBER

## CERTIFICATE OF MAILING BY EXPRESSIMANS (BY CERTIFICATION 2011) 20 JAN 2006

I hereby certify that the correspondence to which this certificate is affixed, including each document referred to therein as being enclosed or submitted therewith, is being deposited with the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated below and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Mailing Label No. <u>EU679132829US</u>

Date of Deposit: January 20, 2006

Print Name: Stacey S. Williams

**PATENT** 

### IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

Inventor(s):

Shalini Sharma, et al.

Atty. Ref.: 18037-PCTUS

Appl. No.:

Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed:

Herewith

Examiner: Not Yet Assigned

For:

COMPOUNDS FOR THE TREATMENT OF METABOLIC DISORDERS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### TRANSMITTAL LETTER

#### Applicants submit herewith:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing under 35 U.S.C. 371 (PTO Form 1390)
- Declaration from Inventors (Unsigned)
- Executed Power of Attorney Form
- Preliminary Amendment

Respectfully submitted,

Lewis J. Kreisler Reg. No. 38522

Attorney for Applicant(s)

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